

02-07-02

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

12

Application	10/008989
Filing Date	11/02/2001
First Named	FELT, et al.
Group Art Unit	1723
Examiner Name	Not yet assigned
Total Number of Pages in This Submission	12
Attorney Docket Number	BC-0264-US02

ENCLOSURES (check all that apply)

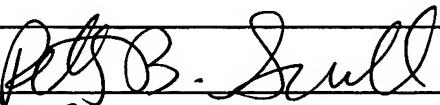
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	PTO Form 1449, Certificate of Mailing by Express Mail, Return card
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

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TC 1700

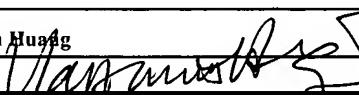
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Peter B. Scull, Reg. No. 37,932 (Customer No. 24994)
Signature	
Date	February 4, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

EV 008327785 US

Typed or printed name	Yanmin Huang
Signature	
Date	02/04/2002

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FEES TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	\$0.00
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Complete if Known

Application Number	10/008989
Filing Date	11/02/2001
First Named Inventor	FELT, et al
Examiner Name	Not yet assigned
Group Art Unit	1723
Attorney Docket No.	BC-0264-US02

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FEB 08 2002

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR § 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non - English specification
147	2,520	147	2,520 For filing a request for ex parte reexamination
112	920*	112	920* Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	400	216	200 Extension for reply within second month
117	920	217	460 Extension for reply within third month
118	1,440	218	720 Extension for reply within fourth month
128	1,960	228	980 Extension for reply within fifth month
119	320	219	160 Notice of Appeal
120	320	220	160 Filing a brief in support of an appeal
121	280	221	140 Request for oral hearing
138	1,510	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	1,280	241	640 Petition to revive - unintentional
142	1,280	242	640 Utility issue fee (or reissue)
143	460	243	230 Design issue fee
144	620	244	310 Plant issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Processing fee under 37 CFR § 1.17(q)
126	180	126	180 Submission of Information Disclosure Statement
581	40	581	40 Recording each patent assignment per property (times number of properties)
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370 Request for Continued Examination (RCE)
169	900	169	900 Request for expedited examination of a design application

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
101	740	201
106	330	206
107	510	207
108	740	208
114	160	214
		370 Reissue filing fee
		80 Provisional filing fee
SUBTOTAL (1)		

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** =	0	X = 0.00
Independent Claims	- 3** =	0	X = 0.00
Multiple Dependent			=

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203
102	84	202
104	280	204
109	84	209
110	18	210
		9 Claims in excess of 20
		42 Independent claims in excess of 3
		140 Multiple dependent claim, if not paid
		42 ** Reissue independent claims over original patent
		9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		\$0.00

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **\$0.00**

Complete (if applicable)

Name (Print/Type)	Peter B. Scull	Registration No. (Attorney/Agent)	37932	Telephone	303-205-2560
Signature	<i>Peter B. Scull</i>				
		Date	Feb. 4, 2002		

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Docket No. BC-0264-US02

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

In re Application of: FELT, et al.

Serial No.: 10/008,989

Filed: 11/02/2001

For: Fluid Separation Devices, Systems and/or
Methods Using a Fluid Pressure Driven
and/or Balanced Approach

Customer Number: 24994

} Group Art No: 1723
Examiner: Not yet assigned

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

I hereby certify that the following documents:

1. Certificate of Express Mailing (1 page)
2. Transmittal Form (1 page)
3. Fee Transmittal for FY2002 with Deposit Account No. (1 page)
4. Information Disclosure Statement (2 pages)
5. PTO Form 1449 (7 pages)
6. Return Card

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

Commissioner for Patents
Washington, DC 20231

on this date of 02/04/2002

Yanmin Huang
EV 008327785 US
Express Mailing Label Number



Ref. No: BC-0264-US02

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Application of: **FELT, et al.**

Serial No.: **10/008,989**

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Commissioner for Patents
Washington, DC 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

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02/04/2002

Date of Deposit

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Express Mailing Label Number

Signature

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.56, Applicant brings to the attention of the Examiner the documents listed on the attached PTO Form 1449. It is believed this Information Disclosure Statement is being filed within three (3) months of the filing date of this application and/or before the first Office Action on the merits and thus no fee should be due (see 37 C.F.R. 1.97 (b)). If this is incorrect, please charge deposit account 03-2316.

This application claims a priority relationship to U.S. Patent Application No. 60/245,282, filed November 2, 2000.

This submission does not represent that a search has been made or that no better information exists and does not constitute an admission that each or all of the listed documents

alone or in combination, are considered to be material to patentability as defined under 37 C.F.R. § 1.56(b).

If there are any questions, any representative of the U.S. Patent and Trademark Office is encouraged to call the undersigned attorney of record at the below-printed telephone number.

Date

February 4, 2002

Respectfully submitted,

Peter B. Scull

Atty. Reg. No: 37,932

Gambro, Inc.

10810 W. Collins Ave.

Lakewood, Colorado 80215

(303) 205-2560

Attachment